RQ-2

May 1, 2014

MICHAEL F. CHILDERS, TREASURER DEMOCRATIC PARTY OF WISCONSIN 15 N. PINCKNEY SUITE 200 MADISON, WI 53703

Response Due Date 06/05/2014

IDENTIFICATION NUMBER: C00019331

REFERENCE: YEAR-END REPORT (12/01/2013 - 12/31/2013)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. Failure to adequately respond by the response date noted above could result in an audit or enforcement action. Additional information is needed for the following 4 item(s):

1. Schedule A of your report (see attached) discloses one or more contributions from an organization(s), which is not a political committee registered with the Commission. In order for your committee to accept contributions from unregistered organizations into accounts used to influence federal elections, your committee should take steps to insure that the contributor(s) used permissible funds to make the contribution(s) to avoid violating 2 U.S.C. § §441a(f) and 441b or 11 CFR §102.5(b). Under 11 CFR §102.5(b), organizations which are not political committees under the Act and choose to contribute to federal committees must either: 1) establish a separate account which contains only those funds permitted under the Act, or 2) demonstrate through a reasonable accounting method that the organization has received sufficient funds subject to the limitations and prohibitions in order to make the contribution.

If any apparently prohibited contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. In addition, please clarify whether the contribution(s) received from the referenced organization(s) is permissible.

To the extent that your committee has received prohibited funds, you may have to make a refund. If within 30 days of receipt you (1) transferred the prohibited amount to an account not used to influence federal elections, and (2) provided

DEMOCRATIC PARTY OF WISCONSIN

Page 2 of 3

written notice to the person making the contribution of the option of receiving a refund, you may retain the contribution in an account not used to influence federal elections. Any request from a donor for a refund must be honored.

If the foregoing conditions for transfers to a non-federal account were not met within 30 days of receipt, the prohibited amount must be refunded. (11 CFR §103.3(b)(1))

Please inform the Commission of your corrective action promptly in writing and provide a photocopy of your check for any transfer- out or refund. Should you choose to transfer-out or refund the contribution(s), the Commission will presume the funds were impermissible if no statement from your committee provides information to the contrary. Transfers-out and refunds should be disclosed on a Schedule B supporting Line 22 or 28 of the report covering the period during which the transaction was made.

Although the Commission may take further legal action concerning the acceptance of prohibited contributions, prompt action by your committee in transferring-out or refunding the amounts will be taken into consideration.

- **2.** A review of the reports filed by your committee indicates that your committee received one or more contributions from "NEA Advocacy Fund (National Educators Association)" which has not been disclosed on their report(s) of receipts and disbursements. Please clarify if the contribution(s) was received from the disclosed donor's federal account and amend your report(s) if necessary.
- **3.** Schedule A of your 2013 Reports combined, discloses transfers totaling \$16,129.29 from "Obama Victory Fund 2012," \$3,498.67 from "Tammy Baldwin Victory Fund" and \$113.00 from "Swing State Victory Fund," which are joint fundraising committees affiliated with your committee. You must provide a memo Schedule A disclosing your share of gross receipts. The memo schedule should itemize each individual who has contributed an aggregate in excess of \$200 during the calendar year, and provide the amount of unitemized contributions received. In addition, the memo schedule should itemize your committee's share of all contributions from political committees, regardless of amount. Please amend your report by providing the omitted memo schedule(s). (11 CFR §102.17(c)(8)(i)(B))
- **4.** Your 2013 Reports combined discloses memo Schedule A's totaling \$72,311.24 for "WI Party Victory Fund," which appears to be your committee's

DEMOCRATIC PARTY OF WISCONSIN

Page 3 of 3

share of the gross contributions received from a joint fundraising committee. However, there are no corresponding transfers-in from the related joint fundraising committee disclosed on Schedule A supporting Line 12 of the Detailed Summary Page. Please amend your report(s) to clarify this apparent discrepancy. (11 CFR §102.17(c)(8)(i)(B))

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. Requests for extensions of time in which to respond will not be considered.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1143.

Sincerely,

Kaitlin Eger

Sr. Campaign Finance & Reviewing Analyst Reports Analysis Division

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Excessive, Prohibited, and Impermissible Contributions Democratic Party of Wisconsin (C00019331)

Contributions from Unregistered Organizations

| Contributor Name | Date | Amount | Report |
|------------------------------------|----------|------------|---------------|
| DBA Citizens for Peter Barca | 12/10/13 | \$1,000.00 | 2013 Year End |
| Shawano County Democratic Party | 12/9/13 | \$10.00 | 2013 Year End |
| Washington County Democratic Party | 12/2/13 | \$35.00 | 2013 Year End |
| Washington County Democratic Party | 12/2/13 | \$20.00 | 2013 Year End |
| Winnebago County Democratic Party | 12/2/13 | \$19.25 | 2013 Year End |
| Winnebago County Democratic Party | 12/2/13 | \$55.00 | 2013 Year End |
| Wood County Democratic Party | 12/2/13 | \$10.00 | 2013 Year End |
| Citizens for Zepnick | 12/31/13 | \$500.00 | 2013 Year End |
| Forward With Jocasta | 12/31/13 | \$1,000.00 | 2013 Year End |
| Friends of Chris Taylor | 12/10/13 | \$1,000.00 | 2013 Year End |
| Friends of Dianne Hesselbein | 12/18/13 | \$1,000.00 | 2013 Year End |
| Friends of Gary Hebl | 12/10/13 | \$1,000.00 | 2013 Year End |
| Friends of La Tonya Johnson | 12/31/13 | \$300.00 | 2013 Year End |
| Friends of Melissa Sargent | 12/31/13 | \$1,000.00 | 2013 Year End |
| Friends of Robb Kahl | 12/31/13 | \$1,000.00 | 2013 Year End |
| Friends of Sandy Pasch | 12/31/13 | \$1,000.00 | 2013 Year End |
| Friends of Sondy Pope-Roberts | 12/31/13 | \$1,000.00 | 2013 Year End |
| Friends of Terese Berceau | 12/10/13 | \$1,000.00 | 2013 Year End |
| Goyke for Assembly | 12/31/13 | \$1,000.00 | 2013 Year End |
| Hintz for Assembly | 12/31/13 | \$1,000.00 | 2013 Year End |
| Smith for the 75th | 12/10/13 | \$1,000.00 | 2013 Year End |
| Wachs for Assembly | 12/31/13 | \$1,000.00 | 2013 Year End |